

Express Mail Label No.: EV 292 544 885 U.S.
Date of Deposit: July 25, 2003

DOCKET NO: S01398.70004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mark Hoffman et al.
Serial No: Not Yet Assigned
Confirmation No: Not Yet Assigned
Filed: Herewith
For: PERSONAL SECURITY AND TRACKING SYSTEM

Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned

MAIL STOP Patent Application

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the above-identified application.

The Applicants would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

| <u>Serial No.</u> | <u>Filing Date</u> | <u>Inventor(s)</u> |
|-------------------|--------------------|---------------------|
| 09/284,598 | April 16, 1999 | Mark Hoffman et al. |
| 10/600,733 | June 20, 2003 | Mark Hoffman et al. |

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Serial No.: Not Yet Assigned

- 3 -

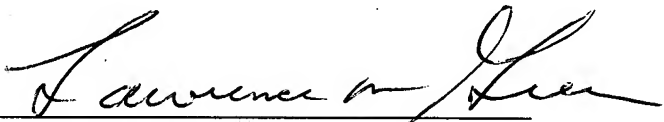
Art Unit: Not Yet Assigned

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Mark Hoffman et al., Applicants

By: 
Lawrence M. Green, Reg. No. 29,384
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2211
Telephone: (617) 720-3500

Docket No. S01398.70004

Date: July 25, 2003

XNDDX

| | | | | | | | |
|---|---|----|---|-----------------------------------|--|------------------------------------|--|
| FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICATION NO.: Not Yet Assigned | | ATTY. DOCKET NO.: S01398.70004 | |
| | | | | FILING DATE: Herewith | | CONFIRMATION NO.: Not Yet Assigned | |
| | | | | APPLICANT: Mark Hoffman et al. | | | |
| | | | | GROUP ART UNIT: Not Yet Assigned | | EXAMINER: Not Yet Assigned | |
| Sheet | 1 | of | 2 | | | | |

U.S. PATENT DOCUMENTS

| Examiner's Initials | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or of issue of Cited Document MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|--|--|
| | | Number | Kind Code | | |
| | | 4,694,284 | | Levelle et al. | 09-15-1987 |
| | | 4,744,083 | | O'Neill et al. | 05-10-1988 |
| | | 4,799,062 | | Sanderford et al. | 01-17-1989 |
| | | 4,818,998 | | Apsell et al. | 04-04-1989 |
| | | 4,819,860 | | Hargrove et al. | 04-11-1989 |
| | | 4,839,656 | | O'Neill et al. | 06-13-1989 |
| | | 4,885,571 | | Pauley et al. | 12-05-1989 |
| | | 4,918,432 | | Pauley et al. | 04-17-1990 |
| | | 4,952,913 | | Pauley et al. | 08-28-1990 |
| | | 4,965,586 | | O'Neill et al. | 10-23-1990 |
| | | 5,021,794 | | Lawrence | 06-04-1991 |
| | | 5,027,314 | | Linwood et al. | 06-25-1991 |
| | | 5,125,103 | | Grube et al. | 06-23-1992 |
| | | 5,196,825 | | Young | 03-23-1993 |
| | | 5,225,809 | | Bunn | 07-06-1993 |
| | | 5,225,842 | | Brown et al. | 07-06-1993 |
| | | 5,334,974 | | Simms et al. | 08-02-1994 |
| | | 5,357,254 | | Kah, Jr. | 10-18-1994 |
| | | 5,396,227 | | Carroll et al. | 03-07-1995 |
| | | 5,410,739 | | Hart | 04-25-1995 |
| | | 5,416,468 | | Baumann | 05-16-1995 |
| | | 5,461,390 | | Hoshen | 10-24-1995 |
| | | 5,515,419 | | Sheffer | 05-07-1996 |
| | | 5,554,993 | | Brickell | 09-10-1996 |
| | | 5,559,520 | | Barzegar | 09-24-1996 |
| | | 5,650,770 | | Schlager et al. | 07-22-1997 |
| | | 5,663,734 | | Krasner | 09-02-1997 |
| | | 5,742,233 | | Hoffman et al. | 04-21-1998 |
| | | 5,874,914 | | Krasner | 02-23-1999 |
| | | 5,959,529 | | Kail, IV | 09-28-1999 |
| | | 5,999,124 | | Sheynblat | 12-07-1999 |
| | | 6,002,363 | | Krasner | 12-14-1999 |
| | | 6,239,700 | B1 | Hoffman et al. | 05-29-2001 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner's Initials | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document (not necessary) | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|------------------------|-------------|-------------------------|--------|--------------|---|---|----------------------|
| | | Office/ Country | Number | Kind Code | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials | Cite No | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) | |
|------------------------|------------|---|----------------------|--|
| | | | | |
| | | | | |
| | | | | |

| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]